UNITED STATES DISTRICT COURT SOUTHERN DISTRICT OF NEW YORK

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SONTERRA CAPITAL MASTER FUND LTD.,

FRONTPOINT EUROPEAN FUND, L.P.,

FRONTPOINT FINANCIAL SERVICES FUND,

L.P., FRONTPOINT HEALTHCARE FLAGSHIP : Case No. 15-cv-00871 (SHS)

ENHANCED FUND, L.P., FRONTPOINT

HEALTHCARE FLAGSHIP FUND, L.P.,

FRONTPOINT HEALTHCARE HORIZONS

FUND, L.P., FRONTPOINT FINANCIAL

AND ENERGY FUND L.P., HUNTER GLOBAL : STATEMENT ON BEHALF OF INVESTORS FUND I, L.P., HUNTER GLOBAL : DEFENDANT TULLET PREBON INVESTORS OFFSHORE FUND LTD., HUNTER: AMERICAS CORP. GLOBAL INVESTORS SRI FUND LTD., HG HOLDINGS II LTD., HG HOLDINGS II LTD., FRANK DIVITTO, RICHARD DENNIS, and the

CALIFORNIA STATE TEACHERS'

RETIREMENT SYSTEM on behalf of themselves

and all others similarly situated,

Plaintiffs,

V.

CREDIT SUISSE GROUP AG, CREDIT SUISSE AG, JPMORGAN CHASE & CO., THE ROYAL BANK OF SCOTLAND PLC, UBS AG, DEUTSCHE BANK AG, DB GROUP SERVICES UK LIMITED, TP ICAP PLC, TULLETT PREBON: AMERICAS CORP., TULLETT PREBON (USA) INC., TULLETT PREBON FINANCIAL SERVICES LLC, TULLETT PREBON (EUROPE): LIMITED, COSMOREX AG, ICAP EUROPE LIMITED, ICAP SECURITIES USA LLC, NEX GROUP PLC, INTERCAPITAL CAPITAL MARKETS LLC, GOTTEX BROKERS SA, VELCOR SA AND JOHN DOE NOS. 1-50,

Defendants.

HORIZONS FUND, L.P., FRONTPOINT UTILITY: RULE 7.1 CORPORATE DISCLOSURE

: ECF CASE

Pursuant to Rule 7.1 of the Federal Rules of Civil Procedure, the undersigned counsel of record for defendant Tullett Prebon Americas Corp. hereby states as follows:

Tullett Prebon Americas Corp. is owned by Tullett Prebon (Americas) Holdings Inc. and Tullett Prebon (No. 1), neither of which is a publicly traded company. Tullett Prebon Americas Corp. is indirectly wholly owned by TP ICAP plc, a publicly traded company.

Dated: January 23, 2018 New York, New York

RICHARDS KIBBE & ORBE LLP

By: /s/ Shari A. Brandt

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